



SAIFM

South African Institute of Financial Markets

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www.saifm.co.za | www.virtualexamcentre.co.za |
www.financialmarketsjournal.co.za |
www.financialregulationjournal.co.za |
www.regulatorysummit.co.za

MEMBERSHIP APPLICATION FORM

FOR INTERNAL USE ONLY:

Date approved	Membership number	Invoice number	Certificate posted	Qualifying criteria

PERSONAL INFORMATION

MR MRS MISS MS DR OTHER, SPECIFY:

INITIALS		SURNAME	
FIRST NAME		SECOND NAME	
DATE OF BIRTH (DD/MM/YYYY)		ID OR PASSPORT NUMBER	
NATIONALITY		EMAIL	
CELL PHONE NUMBER		TELEPHONE NUMBER	
PHYSICAL ADDRESS		POSTAL ADDRESS	

Please tick the appropriate block/s for correspondence you wish to receive from SAIFM

Examination and preparation information Workshops and CPD events Vacancies Industry related emails/Journals

EXPERIENCE AND QUALIFICATIONS

Please attach a comprehensive CV including full work history and education. If your qualifications were not completed in South Africa, please include a SAQA accreditation letter

CURRENT EMPLOYER INFORMATION

COMPANY NAME		POSITION	
TELEPHONE NUMBER		ADDRESS	
START DATE			

EMPLOYMENT HISTORY

COMPANY NAME		POSITION	
START DATE		END DATE	

COMPANY NAME		POSITION	
START DATE		END DATE	

COMPANY NAME		POSITION	
START DATE		END DATE	

EDUCATION

Please list top three highest levels of education

QUALIFICATION		INSTITUTION	
START DATE		END DATE	

QUALIFICATION		INSTITUTION	
START DATE		END DATE	

QUALIFICATION		INSTITUTION	
START DATE		END DATE	

GOOD REPUTATION AND CHARACTER

- 1 Have you ever been convicted of any offence involving fraud, dishonesty or an offence under legislation (whether in the Republic of South Africa or internationally) relating to companies (including insider trading), exchanges, building societies, insurance, banks, investment management, insolvency, consumer credit or consumer protection?

Yes No

IF YES GIVE DETAILS

- 2 Have you had any convictions for any criminal offence other than the offences listed above or been subject to penalties for tax evasion?

Yes No

IF YES GIVE DETAILS

- 3 Have you at any time been declared insolvent or had your estate sequestrated, or are you currently the subject of insolvency proceedings or for the sequestration of your estate?

Yes No

IF YES GIVE DETAILS

- 4 Are you currently undergoing any investigation or disciplinary procedure?

Yes No

IF YES GIVE DETAILS

- 5 Have you at any time entered into a trust deed in favour of your creditors, or other composition or arrangement with creditors, or failed to satisfy a judgement debt under a Court Order made in the Republic of South Africa or elsewhere within one year of the making of the order?

Yes No

IF YES GIVE DETAILS

- 6 Have you at any time resigned from, been refused or had revoked membership of any association of dealers in financial instruments or of any exchange?

Yes No

IF YES GIVE DETAILS

- 7 Have you at any time been refused or had withdrawn any authorization to carry on investment, banking or insurance business in countries outside of the Republic of South Africa?

Yes No

IF YES GIVE DETAILS

- 8 Have you at any time been refused or had revoked membership of anybody or organization of which you have been, or have applied to be, a member?

Yes No

IF YES GIVE DETAILS

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- 9 Have you or your employer been publicly censured or disciplined in the Republic of South Africa or internationally by an organization or body in relation to your business or professional activities?
Yes No
IF YES GIVE DETAILS
-
- 10 Have you been refused entry to any profession or vocation or been dismissed from any office or employment, or from any fiduciary office or position of trust, whether or not remunerated?
Yes No
IF YES GIVE DETAILS
-
- 11 Have you at any time been refused the right or been restricted in the right to carry on any trade, business or profession for which a specific license, registration or other authority is required?
Yes No
IF YES GIVE DETAILS
-
- 12 Have you ever been disqualified by a court from acting as a director of a company, or from acting in the management or conduct of the affairs of any company or other legal entity?
Yes No
IF YES GIVE DETAILS
-
- 13 Has any organization of which you were at the time a director, manager, partner or member, been put into compulsory liquidation or had a liquidator appointed or entered into any arrangement with its creditors?
Yes No
IF YES GIVE DETAILS
-
- 14 Have you been found liable in a civil court in the Republic of South Africa, or internationally, for fraud, wrongful trading or other misconduct?
Yes No
IF YES GIVE DETAILS
-

DECLARATION BY APPLICANT

1. I confirm having read and understood the code of Conduct of the South African Institute of Financial Markets (SAIFM) and undertake to abide by the words and spirit of the Code of Conduct of SAIFM.
2. I warrant that the information provided in the application form is true and correct and undertake to advise SAIFM should any of this information change.
3. I agree that SAIFM may make such enquiries relating to my career history and qualifications as it may deem fit to establish the correctness of the information supplied by me or to establish whether any information has been omitted and hereby authorise SAIFM to access information relating to my career history at any of my previous employers or to my qualifications at any tertiary institution locally or internationally.
4. I agree that my name will be removed from being a Member of SAIFM should I fail to abide by the provisions of this Acknowledgement or contravene the Code of Conduct of SAIFM or the Code of Conduct or rules of any association or institution who endorses this class of membership.
5. I agree to pay the annual membership fee as stipulated by SAIFM.

SIGNED		DATE	
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